

# EXECUTIVE SUMMARY

## Quick Start - FY20-21 Request for Pre-Proposals (RFP)

**Read this First!**

The USWBSI is soliciting proposed research for the following:

- **Category 1 – Commodity-based and VDHR-Uniform Nursery Coordinated Projects (CP):**
  - Commodity-based
    - Barley (BAR-CP);
    - Durum (DUR-CP); and
    - Hard Winter Wheat (HWW-CP)
  - Variety Development and Host Resistant (VDHR) – Organized around Uniform Nurseries of the following:
    - Spring Wheat Region (VDHR-SPR);
    - Northern Soft Winter Wheat (VDHR-NWW); and
    - Southern Soft Red Winter Wheat (VDHR-SWW)

For more information, please turn to page 19.

- **Category 2 - FHB Management (MGMT) Coordinated Projects.**  
For more information, please turn to page 29.

- **Category 3 - Research Area Individual Project Pre-Proposals:**
  - FHB Management (MGMT);
  - Food Safety and Toxicology (FST);
  - Gene Discovery and Engineering Resistance (GDER);
  - Pathogen Biology and Genetics (PBG).
  - Other – pre-proposals that do not fit into a specific research area or coordinated project.

For more information, please turn to page 38.

### What is new for FY20-21?

- **Research priorities** have been updated for FY20-21. For more information, please see the [FY20-21 Program Descriptions and Research Priorities](#) (begins on page 9).
- **USWBSI Indirect Costs Rate:** Congress has set an Indirect Cost Rate of not more than 10% MTDC for the USWBSI. More information is provided within the ‘Budget Request’ section under each Category (pages 23, 33 and 42).
- **Seeking New Scientists and Innovative Ideas:** The USWBSI encourages new and early career scientists, as well as scientists with innovative research ideas, to submit pre-proposals to the Initiative (see page 4 for more details).
- **DON Samples.** If your proposed research will warrant DON analysis, we are requesting you include an estimate of the number of samples you plan to submit (refer to page 23, 32 or 41).
- **USWBSI Transgenic Management Policy:** The initiative has implemented a new policy on the management of transgenic plants. If a PI’s research is funded through the initiative, the PI must

acknowledge that he/she will abide by the transgenic policy in order to receive funding. The policy states “Regardless of any federal regulations regarding gene edited plants, PI’s receiving funds from the U.S. Wheat and Barley Scab Initiative must manage gene edited plants in the same manner as transgenic plants are managed.”<sup>1</sup>

## What is included in this document?

This document contains only the instructions for submitting pre-proposals for FY20-21. All RFP forms can be downloaded from the RFP website at: [https://scabusa.org/research\\_rfp-fy20-21](https://scabusa.org/research_rfp-fy20-21).

**NOTE:** Using forms from previous RFP versions is **NOT** allowed and may result in rejection of pre-proposal.

## Categories of Pre-Proposals for FY20-21:

**There are three distinct categories of FY20-21 Pre-Proposals:**

- 1) Proposed Research Projects submitted to one of the Commodity-based or Variety Development and Host Resistance (VDHR) Uniform Nursery Coordinated Projects (CP);
- 2) Proposed Research Projects submitted to the FHB Management (MGMT) Integrated Management Coordinated Project (IM-CP); and
- 3) Individual Pre-Proposals submitted to one of the Research Area.

For more information on these three categories, see page 3.

### IMPORTANT DEADLINES FOR ALL PRE-PROPOSAL CATEGORIES

| Description of Item:  | Deadline:                 |
|---|---------------------------|
| Pre-Registration for Electronic Pre-Proposal Submission (EPS) System Begins | <b>July 29, 2019</b>      |
| EPS System Opens  | <b>August 1, 2019</b>     |
| Pre-Registration for EPS System Closes                                      | <b>August 30, 2019</b>    |
| Pre-Proposal Deadline   | <b>September 16, 2019</b> |

To see additional ‘Category’ specific deadlines, turn to page 8.

<sup>1</sup> Any genetically engineered/transgenic plants must be handled in compliance with the USDA regulations for the introduction of altered organisms. For more information on federally regulated altered organisms please visit the Code of Federal Regulations, Title 7, Subtitle B, Chapter III, Part 340.

# PREFACE

The FY20-21 Request for Pre-Proposals is based on the USWBSI's Action Plan which will lead to greater collaboration and communication, and more rapid attainment of the Initiative's primary goal: **"To enhance food safety and supply by reducing the impact of Fusarium Head Blight (scab) on wheat and barley."** To read the complete action plans for all research areas go to [https://scabusa.org/pdfs/uswbsi\\_action-plan\\_web.pdf](https://scabusa.org/pdfs/uswbsi_action-plan_web.pdf).

## **FY20-21 Research Categories (RC)**

Below are the Research Categories for which FY20-21 pre-proposals will be accepted:

### **1. Commodity-based and VDHR-Uniform Nursery Coordinated Projects:**

- ❖ Barley Coordinated Project (BAR-CP)
- ❖ Durum Coordinated Project (DUR-CP)
- ❖ Hard Winter Wheat Coordinated Project (HWW-CP)
- ❖ Variety Development and Host Resistance – Spring Wheat Region (VDHR-SPR)
- ❖ Variety Development and Host Resistance - Northern Soft Winter Wheat Region (VDHR-NWW)
- ❖ Variety Development and Host Resistance - Southern Soft Red Winter Wheat Region (VDHR-SWW)

### **2. FHB Management Coordinated Project:**

- ❖ Integrated Management Studies (IM); includes studies with fungicide by variety resistance.

### **3. Research Area (RA) Individual Project Pre-Proposals:**

- ❖ FHB Management (MGMT)
- ❖ Food Safety and Toxicology (FST)
- ❖ Gene Discovery and Engineering Resistance (GDER)
- ❖ Pathogen Biology and Genetics (PBG)
- ❖ Other – pre-proposals that do not fit into a specific research area or coordinated project.

**Program descriptions and research priorities** for the research categories listed above are included in this document (beginning on [page 9](#)), and may also be accessed at [https://scabusa.org/research\\_rfp-fy20-21](https://scabusa.org/research_rfp-fy20-21). Proposed research should be based on the FY20-21 research priorities which are derived from the goals of the Action Plan.

## **Requests for Funding**

As previously indicated, **there are three distinct categories of FY20-21 Pre-Proposals:** 1) Commodity-based and VDHR-Uniform Nursery Coordinated Projects; 2) MGMT's Integrated Management Coordinated Project (IM-CP); and 3) Research Area Individual Pre-Proposals. An overview of the three categories follows:

- 1) **Commodity-based and VDHR-Uniform Nursery based Coordinated Projects (CPs):** If the primary focus of your proposed research (all USWBSI Research Areas) is on Barley, Durum or Hard Winter Wheat, you **should** submit your proposed research to the appropriate Commodity-based Coordinated Projects. If your proposed research is in the area of Variety Development and Host Resistance, and you work within one of the Uniform Nursery Regions (see page 19 to find

your regional nursery), you **must** submit your proposed research to the appropriate VDHR Uniform Nursery Coordinated Projects. Please follow the instructions under **Section 1 - Commodity and VDHR-Uniform Nursery based Coordinated Projects (CPs) – Proposed Research Projects** (instructions begin on page 19).

- 2) **FHB Management Coordinated Project (CP):** If your proposed research fits into the FHB Management Coordinated Project listed below, please follow the instructions and forms under Section 2 titled “**FHB Management Coordinated Project (CP) for Integrated Management – Proposed Research Project**” (instructions begin on page 29) to submit your proposed research.
  - Integrated Management Studies (IM)
- 3) **Research Area Individual Project Pre-Proposals:** If your proposed research is in one of the research areas listed on page 2 and is not associated with a Commodity-based, VDHR-Uniform Nursery or FHB Management Coordinated Project, or if you proposed research was not accepted by one of the CPs, please follow the instructions under Section 3 titled “**Research Area Individual Project Pre-Proposals**” (instructions begin on page 38) to submit your pre-proposal.

**Enhancement of USWBSI Communication Activities:** The USWBSI is interested in receiving pre-proposals that innovatively address this need. PIs should follow the instructions under **Category 3: Individual Research Area Pre-Proposals**. In Box 13 of the Application for Funding Cover Page, select option ‘None of the above or Other’.

**Seeking New Scientists and Innovative Research Ideas:** The USWBSI encourages new and early career scientists, as well as scientists with innovative research ideas, to submit pre-proposals to the Initiative. Individuals new to the Initiative whose research addresses the USWBSI [research priorities](#) are encouraged to contact the Networking and Facilitation Office at [nfo@scabusa.org](mailto:nfo@scabusa.org) with any questions regarding the submission process.

PIs are welcome and encouraged to interact with any of the contacts (listed on page 7) for the Coordinated Projects or Research Areas, or the Networking & Facilitation Office, to identify the appropriate area for their proposed work.

### **Electronic Submission of FY20-21 Pre-Proposals:**

The Electronic Pre-Proposal Submission (EPS) System (web-based) must be used for the submission of all Research Area Individual Pre-Proposals (Category 3) as well as supporting documents for all categories. General instructions for completing pre-proposals and supporting documents for electronic submission are included in this document under each Pre-Proposal Category section.

The on-line submission process begins with the completion of the ‘Pre-Registration Process.’ The pre-registration period opens July 29, 2019 and must be completed no later than August 30, 2019. Information submitted during this process will be used to setup/configure accounts for PIs and Co-PIs. The Electronic Pre-Proposal Submission (EPS) System will open August 1<sup>st</sup>. Information needed for accessing the EPS System will be distributed to PIs **within 48 normal business hours after completing the ‘Pre-Registration Process.’**

**EPS System and Sponsored Programs Offices:** The USWBSI does not require signatures by the Authorized Organizational Representatives (AORs) on pre-proposals/proposed research projects, so AORs will not be granted access to the EPS system. If the PI’s institution requires approval from the Authorized Organizational Representative (i.e. Sponsored Programs Administration/Office) on ‘pre-proposals’,

included in the RFP is a special form that can be used at the institutional level for obtaining approval by the PI's sponsored programs office. The form can be downloaded from the USWBSI's Website at [https://scabusa.org/research\\_rfp-fy20-21](https://scabusa.org/research_rfp-fy20-21) under 'SPECIAL FORMS' on the FY20-21 RFP web page.

## INTRODUCTION

After carefully reading the 'PREFACE' and reviewing the 'FY20-21 Research Category Program Descriptions and Research Priorities', you are ready to create your proposed research request(s). Below are a few steps to guide you through this process:

1. First, determine the appropriate Category for your proposed research: **1)** Commodity-based or VDHR-Uniform Nursery Coordinated Project; **2)** MGMT Integrated Management Coordinated Project; or **3)** Research Area Individual Project Pre-Proposal. You may contact any individual listed in Table 1 (page 7) to help determine the most appropriate category for your proposed research.
2. If Category 1 or 2 was selected in step 1, determine the Coordinated Project that best fits your proposed research.
3. If Category 3 was selected, determine the appropriate Research Area for your proposed research.
4. Finally, follow the instructions in the corresponding section to complete your proposal.

**NOTE:** Pre-Proposals that do not fit into one of the coordinated projects or research areas (listed on page 3) for which proposals are being accepted for FY20-21 are also welcome. Follow the instructions under Section 3 to complete and submit a pre-proposal.

### CONFORMANCE WITH INSTRUCTIONS FOR PRE-PROPOSAL PREPARATION:

It is important that all pre-proposals conform to the instructions provided in the FY20-21 Request for Project Pre-Proposal Application. The USWBSI's Networking & Facilitation Office may return, without review, any pre-proposals that are not consistent with these instructions. The NFO must authorize any deviations from these instructions in advance of pre-proposal submission. Deviations must be authorized by written approval from the Researcher Co-Chair and Executive Director, Dr. Ruth Dill-Macky ([ruthdm@umn.edu](mailto:ruthdm@umn.edu)) or the Director of Operations, Ms. Sue Canty ([nfo@scabusa.org](mailto:nfo@scabusa.org)).

**NOTE:** We strongly encourage all individuals submitting a pre-proposal to review the Frequently Ask Questions ([https://scabusa.org/pdfs/rfp/rfp\\_faq.pdf](https://scabusa.org/pdfs/rfp/rfp_faq.pdf)), which addresses common mistakes and omissions of required information from previous submitted pre-proposals.

### COLLABORATIVE PROJECTS:

In addition to the Coordinated Projects, other collaborative projects within or across research areas are encouraged. PIs who intend to submit pre-proposals with similar objectives, methods, treatments, etc. should coordinate their efforts to produce a single, unified pre-proposal rather than similar, separate pre-proposals. If you are part of a collaborative project, and your proposed project is approved for funding, the funding will come in the form of a direct agreement between USDA-ARS and the collaborating PIs' institutions.

**REVIEW AND FUNDING RECOMMENDATION PROCESS:**

Please note that all pre-proposals will be regarded as confidential documents. Distribution will be limited only to parties involved with the review process. All pre-proposals received by the assigned deadline will be sent for review to one of the designated review panels. The Executive Committee will then review the review panels' recommendations and if necessary, modify the awards to conform to the FY20 Congressional budget and the overall objectives of the Initiative. The final step is approval of the EC's recommended research plan and budget by the Steering Committee in early December, following the close of the FHB Forum. All PIs whose pre-proposals are recommended for funding by the Initiative will be asked to compile and formalize their proposed research (Year 1 only) into a 'Final Funding Application' for inclusion in the Initiative's recommended research plan (approximately mid- to late-January/early February 2020). The recommended comprehensive research plan will be sent to USDA-ARS in the early months of 2020. More detailed information on the review process for each pre-proposal category is available on the RFP website - [https://scabusa.org/research\\_rfp-fy20-21](https://scabusa.org/research_rfp-fy20-21).

**REVISIONS TO PRE-PROPOSALS MADE DURING THE REVIEW PROCESS:**

In the event of a significant development (e.g., research findings, changed circumstances, unavailability of PI, etc.) that might materially affect the outcome of the review of a pending pre-proposal, the PI must contact the Executive Director (Ruth Dill-Macky, [ruthdm@umn.edu](mailto:ruthdm@umn.edu)) of the Networking and Facilitation Office (NFO) to discuss the issue. Depending on the outcome of that discussion, the PI may be allowed to submit a one-page update to the NFO. The NFO will provide a form and deadline for the update. Submitting additional information must not be used as a means of circumventing page limitations or stated deadlines.

**NEED HELP?**

If you have any questions regarding this application or the pre-proposal process, please contact the U.S. Wheat and Barley Scab Initiative's Networking and Facilitation Office by phone at (517) 353-0201 or by e-mail at [nfo@scabusa.org](mailto:nfo@scabusa.org).

To facilitate pre-proposal preparation, a Frequently Asked Questions (FAQs) document pertaining to preparation and submission of pre-proposals has been developed and is available electronically on the USWBSI's Website at:

[https://scabusa.org/pdfs/rfp/rfp\\_faq.pdf](https://scabusa.org/pdfs/rfp/rfp_faq.pdf)

The FAQ document will be updated regularly as questions and requests for clarification are submitted to the Networking & Facilitation Office.

**Table 1.** Contacts for Research Areas, Coordinated Projects and the Networking & Facilitation Office.

| <b>RESEARCH AREAS (RA)</b>                              | <b>CONTACT</b>  | <b>EMAIL ADDRESS</b>   |
|---|---|--|
| <b>FHB Management (MGMT)</b>                            | Paul Esker, Pennsylvania State University                   | <a href="mailto:pde6@psu.edu">pde6@psu.edu</a>                               |
| <b>Food Safety and Toxicology (FST)</b>                 | Dave Kendra, BASF, NC                                       | <a href="mailto:david.kendra@basf.com">david.kendra@basf.com</a>             |
| <b>Gene Discovery and Engineering Resistance (GDER)</b> | Steve Scofield, USDA-ARS, IN                                | <a href="mailto:Steve.Scofield@ars.usda.gov">Steve.Scofield@ars.usda.gov</a> |
| <b>Pathogen Biology and Genetics (PBG)</b>              | Todd Ward, USDA-ARS, IL                                     | <a href="mailto:todd.ward@ars.usda.gov">todd.ward@ars.usda.gov</a>           |
| <b>COORDINATED PROJECTS (CP)</b>                        |   |  |
| <b>COORDINATED PROJECTS (CP)</b>                        | <b>CONTACT</b>  | <b>EMAIL ADDRESS</b>   |
| <b>MGMT'S Integrated Management Studies (IM-CP)</b>     | Pierce Paul, Ohio State University                          | <a href="mailto:paul.661@osu.edu">paul.661@osu.edu</a>                       |
| <b>Commodity-Based CPs</b>                              |   |  |
| <b>Barley (BAR-CP)</b>                                  | Brian Steffenson, University of Minnesota                   | <a href="mailto:bsteffen@umn.edu">bsteffen@umn.edu</a>                       |
| <b>Durum (DUR-CP)</b>                                   | Steven Xu, USDA-ARS, ND                                     | <a href="mailto:Steven.Xu@ARS.USDA.GOV">Steven.Xu@ARS.USDA.GOV</a>           |
| <b>Hard Winter Wheat (HWW-CP)</b>                       | Sunish Sehgal, South Dakota State University                | <a href="mailto:Sunish.Sehgal@sdstate.edu">Sunish.Sehgal@sdstate.edu</a>     |
| <b>VDHR - Uniform Nursery CPs</b>                       |   |  |
| <b>Spring Wheat Region (VDHR-SPR)</b>                   | Mory Rugg, Bayer Crop Science                               | <a href="mailto:Mory.Rugg@Bayer.com">Mory.Rugg@Bayer.com</a>                 |
| <b>Northern Soft Winter Wheat (VDHR-NWW)</b>            | Jana Murche, KWS Cereals USA, IL                            | <a href="mailto:jana.murche@kws.com">jana.murche@kws.com</a>                 |
| <b>Southern Soft Red Winter Wheat (VDHR-SWW)</b>        | Steve Harrison, Louisiana State University                  | <a href="mailto:sharrison@agcenter.lsu.edu">sharrison@agcenter.lsu.edu</a>   |
| <b>NETWORKING &amp; FACILITATION OFFICE (NFO)</b>       |   |  |
| <b>NETWORKING &amp; FACILITATION OFFICE (NFO)</b>       | <b>CONTACT</b>  | <b>CONTACT INFORMATION</b>   |
| <b>General Questions Regarding the RFP Process</b>      | Ruth Dill-Macky, Researcher Co-Chair and Executive Director | 612-625-2227<br><a href="mailto:ruthdm@umn.edu">ruthdm@umn.edu</a>           |
|   | Sue Canty, Director of Operations                           | 517-290-5023<br><a href="mailto:nfo@scabusa.org">nfo@scabusa.org</a>         |

## IMPORTANT RFP DATES AND DEADLINES FOR FY20-21 PRE-PROPOSALS

### IMPORTANT DATES AND DEADLINES

*(Ordered by Category and then Date)*

| <u>RFP Category</u>   | <u>Description of Item:</u>   | <u>Deadline:</u>   |
|---|---|--------------------|
| <b>Category 1:</b><br>Commodity-based and<br>VDHR-Uniform Nursery<br>Coordinated Projects<br>(CP) | Letter of Intent (LOI) sent to CP Chair and NFO<br>(electronic version acceptable)  | July 12, 2019      |
|   | Pre-Registration for EPS System Begins  | July 29, 2019      |
|   | EPS System Opens  | August 1, 2019     |
|   | CP Chairs notify all PIs who submitted Letters of Intent<br>to their CP whether their proposed research has been<br>accepted into the CP's FY20-21 Pre-Proposal.  | August 12, 2019    |
|   | Pre-Registration for EPS System Closes  | August 30, 2019    |
|   | <ul style="list-style-type: none"> <li>• E-version of Proposed Research Project (PRP) sent to<br/>CPC Chair and NFO.</li> <li>• CV/Publication List, and Current and Pending Support<br/>Form uploaded to EPS System.</li> </ul>    | September 16, 2019 |
|   | Submission of FY20-21 CP Pre-Proposals by the CPCs  | October 18, 2019   |
| <b>Category 2:</b> MGMT's<br>Integrated Management<br>Coordinated Project (IM-<br>CP)             | Letter of Intent (LOI) sent to IM-CP Chair and NFO<br>(electronic version acceptable)   | July 12, 2019      |
|   | Pre-Registration for EPS System Begins  | July 29, 2019      |
|   | EPS System Opens  | August 1, 2019     |
|   | IM-CP Coordinator notify all PIs who submitted Letters<br>of Intent to their CP whether their proposed research has<br>been accepted into the CP's FY20-21 Pre-Proposal.  | August 12, 2019    |
|   | IM-CP Coordinator sends protocols to PI's accepted into<br>the IM-CP.   | August 19, 2019    |
|   | Pre-Registration for EPS System Closes  | August 30, 2019    |
|   | <ul style="list-style-type: none"> <li>• E-version of Proposed Research Project (PRP) sent to<br/>IM CPC Chair and NFO.</li> <li>• CV/Publication List, and Current and Pending Support<br/>Form uploaded to EPS System.</li> </ul> | September 16, 2019 |
| Submission of FY20-21 MGMT IM-CP Pre-Proposal by<br>the IM CPC                                    | October 18, 2019  |                    |
| <b>Category 3:</b> Research<br>Area (RA) Individual<br>Pre-Proposals                              | Pre-Registration for EPS System Begins  | July 29, 2019      |
|   | EPS System Opens  | August 1, 2019     |
|   | Pre-Registration for EPS System Closes  | August 30, 2019    |
|   | Submission of FY20-21 Pre-Proposal(s) and supporting<br>documents via the EPS System.   | September 16, 2019 |

**Need Help?** Please contact Sue Canty or Ruth Dill-Macky (contact info on page 7) if you have questions.



## **DURUM COORDINATED PROJECT (DUR-CP)**

### **Project Description:**

The Durum Coordinated Project (DUR-CP) has been created under the direction of the USWBSI to accomplish the initiative action plans. The DUR-CP's main objective is to develop FHB resistant durum wheat germplasm (lines/cultivars) with low DON levels, good agronomic traits, and good quality traits that will serve the producers, the domestic pasta industry, and the international export market. Reducing the impact of FHB requires a multidisciplinary effort and therefore the CP includes plant breeders, pathologists, geneticists, agronomists and other researchers working in the area of disease management. The CP also includes stakeholders such as millers and pasta manufactures.

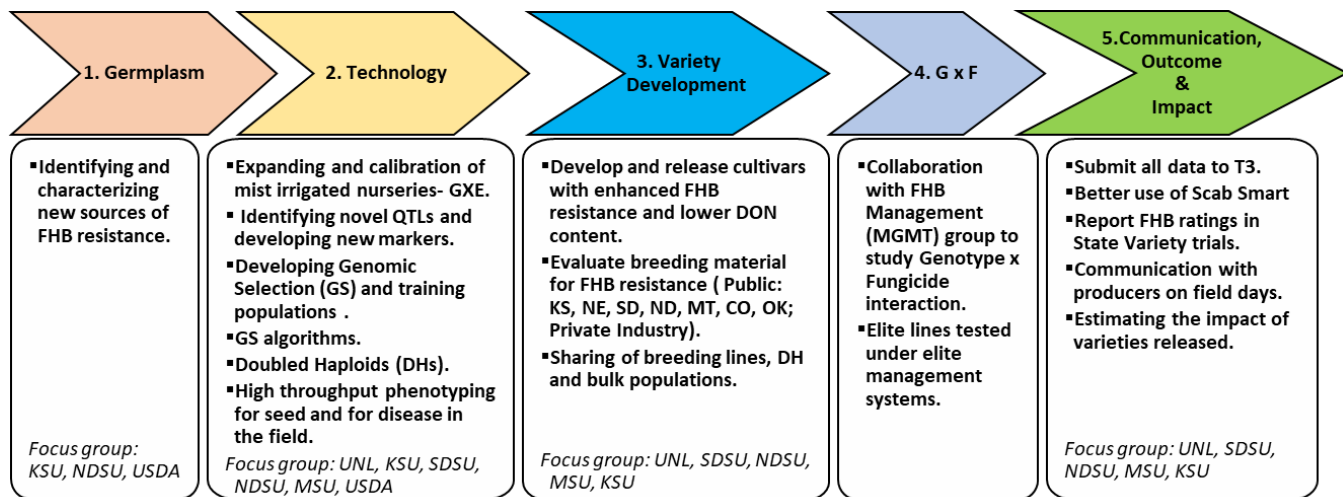
### **FY20-21 Research Priorities derived from the VDHR Research Area:**

1. Search for novel sources of resistance to FHB in durum and its relatives.
2. Identify, map, and validate FHB resistance QTL in the newly identified sources of resistance and develop user-friendly molecular markers to assist selection in durum breeding and germplasm development.
3. Incorporate FHB resistance QTL from diploid, tetraploid and hexaploid wheat accessions into adapted durum backgrounds and develop elite durum germplasm with the assistance of molecular markers in selection.
4. Develop durum varieties with enhanced level of FHB resistance and reduced DON accumulation.
5. Investigate genetic mechanism of suppressors and enhancers affecting FHB resistance expression in durum wheat.
6. Evaluate chemical management strategies that reduce FHB and/or DON in durum.
7. Develop and promote best integrated management strategies to reduce FHB and/or DON in durum wheat.

## HARD WINTER WHEAT COORDINATED PROJECT (HWW-CP)

### Project Description:

The HWW-CP is an efficient coordinated project that will measure its success by reducing DON in the hard winter wheat grain supply to the food grade level acceptable in the European Union (EU). This level is currently lower than the US standard. Because half of our grain is exported and the majority of it shipped to the EU, the risk is that it can be sourced from high scab areas within the HWW region (e.g. the Eastern Great Plains). As the popularity of whole grain products increases, our goal is to ensure that the DON concentration in these products is also below established thresholds. Based upon the timelines expected for success in reducing DON, the HWW-CP includes the scientific activities of plant breeders, pathologists, geneticists and supporting research programs. We coordinate with disease management efforts because we realize that in addition to improved varieties, improved management will optimize project success (as measured by reducing DON) within expected timelines. HWW-CP germplasm and information are publicly available and made available to other participating researchers in other research areas of the USWBSI. The HWW-CP remains focused on reducing DON levels as quickly as possible and by using the most efficacious methods to do so (breeding and management). Membership in the HWW-CP includes all researchers currently funded within the CP, individuals designated as representatives from other research areas of the USWBSI, all interested FHB researchers who may or may not be funded by the USWBSI, stakeholders representing members from groups that fund our research (e.g. the KS, NE, SD, ND, and MT Wheat Commissions), and members of groups that are key to our industry and who non-monetarily support HWW-CP research. These are “independent” stakeholders, such as major mills and bakeries, private wheat breeders, and chemical company representatives.



**Fig. 1** Flowchart of HWW-CP activities.

### FY20-21 Research Priorities derived from the VDHR and MGMT Research Areas:

The HWW-CP is organized around two of the research areas – **Variety Development and Host Resistance (VDHR) and FHB Management (MGMT)** as outlined in the USWBSI Action Plan. Three major objectives and associated research activities have been established within this CP. In addition, the HWW-CP works closely with other USWBSI Research Areas (RAs) to support the stated goal of reducing DON levels specified by the EU. Specifically, the HWW-CP works most closely with the MGMT RA.

**Objective 1.** Increase and document the number of varieties with improved FHB resistance and high grain yield and grain quality that are tested in statewide variety trials and available to farmers, to reduce DON in the US grain supply.

*Associated Research Activities:*

- Increase efficiency of coordinated project breeding programs to develop and release FHB resistant varieties. Enhance cooperation and coordination of research among USWBSI supported programs. For example, phenotypic data should be uploaded to the T3 database, and early generation populations would be shared among programs.
- Characterize genotype x fungicide "specific" treatment responses for enhancing FHB resistance and the reduction of DON so information can be given to the MGMT group to help them optimize their program using advanced breeding lines and new varieties. Management practices need to use the most resistant varieties to develop the best systems with the lowest DON concentrations.
- Test and evaluate regional germplasm to include breeding lines from the public (including programs which are not part of the CP, but develop lines which may be grown within the scab prone regions of the CP) and private breeding programs as well as irrigated field nurseries representative of all FHB environments throughout the region.

**Objective 2.** Evaluate and implement new breeding technologies and germplasm to further enhance short term and long-term improvement of FHB resistance and to efficiently introgress effective resistance genes into breeding germplasm.

*Associated Research Activities:*

- Create new genetic resources and efficiently introgress resistance genes into breeding germplasm for long-term improvement in FHB resistance. Example: New sources of FHB resistance should be introgressed into winter backgrounds and rapidly tested in mist nurseries to quantify their level of resistance.
- Enhance selection efficiency through technologies such as genomic selection, marker-assisted selection, doubled haploid production and/or high throughput phenotyping leading to pyramiding of major and minor genes for FHB resistance.

**Objective 3.** Enhance communication and coordination to increase the impact of our research beyond the region.

*Associated communication activities:*

- Enhance communication and end-user education/outreach relating to resistant varieties and effective management practices and have end use stakeholder representatives on the HWW-CP.
- Enhance cooperation with other RAs by having HWW-CP representatives on MGMT and GDER RAs.

*Associated coordination activities:*

- Coordinate efforts among RA groups on FHB management in hybrid wheat and the CRISPR-CAS9 system for improved FHB resistance.

# **SECTION 1:**

## **COMMODITY-BASED AND VDHR-UNIFORM NURSERY COORDINATED PROJECTS**

### **Proposed Research Projects**

# Category 1: Commodity-based and VDHR-Uniform Nursery Coordinated Projects (CPs)

## INTRODUCTION

**Does my proposed research belong in a Commodity-based or VDHR-Uniform Nursery Coordinated Project?**

Yes, if the primary focus of your proposed research involves the following:

|  |  |
|--|--|
| <b>Commodity-based Coordinated Projects</b>      | Barley (BAR)   |
|  | Durum (DUR)  |
|  | Hard Winter Wheat (HWW)  |
| <b>VDHR-Uniform Nursery Coordinated Projects</b> | <b>Spring Wheat Region</b> - States included in this CP: ID, MN, MT, ND, SD, WA            |
|  | <b>Northern Soft Winter Wheat</b> - States included in this CP: IN, IL, KY, MI, MO, NY, OH |
|  | <b>Southern Soft Red Winter Wheat</b> - States included in this CP: AR, GA, LA, NC, SC, VA |

**NOTE:** If you are working on spring or soft winter wheat and your state is not listed above under one of the VDHR Uniform Nursery CPs, please contact the Networking and Facilitation Office (NFO) for guidance on submission of your pre-proposal.

### Overview of Submission Process for the Commodity-based and VDHR-Uniform Nursery Coordinated Projects:

Whether you are proposing research to the Barley, Durum, Hard Winter Wheat CPs or one of the VDHR - Uniform Nursery CPs, below are the main components (in order of completion) of the submission process:

1. PIs submit a **Letter of Intent (LOI)** to the Chair of the CPC (see Table 1, page 7 or page 20) and Cc: the NFO. **Deadline: July 12**
2. CP committees notify all PIs who submitted Letters of Intent to their CP whether their proposed research has been accepted into the CP’s FY20-21 Pre-Proposal. **Deadline: August 12**
3. PIs and Co-PIs complete the Pre-Registration Process for uploading their CV/Publication Lists and Current and Pending Support Form to the EPS System. **Deadline: August 30**
4. PIs submit (via email) electronic version of the ‘**Proposed Research Project**’ to the Chair of the CP Committee and the NFO. **Deadline: September 16**
5. PIs upload their CV/Publication Lists and Current and Pending Form to the EPS System. **Deadline: September 16**
6. CPC Chairs submit electronic version of CP’s FY20-21 Pre-Proposal to the NFO. **Deadline: October 18**

# INSTRUCTIONS

## 1. PRE-SUBMISSION - LETTER OF INTENT (LOI)

If you plan to participate in one of the Coordinated Projects, you must submit a Letter of Intent to the Chair of the appropriate CP Committee (see below) by **July 12<sup>th</sup>**. The LOI must adhere to the following:

- *One page (single spaced) maximum;*
- *Name of PI and co-PIs, and the proposed title;*
- *Indicate if this is a continuing or new project;*
- *Identify the research area (MGMT, FST, GDER, PBG or VDHR) in which your project fits (applicable to Commodity-based CPs only).*
- *Synopsis that describes the following:*
  - *the CP’s research priority which your project fits;*
  - *the problem being addressed, approaches for solving the problem and the rationale for using these approaches; and*
  - *the outputs of the project and how those outputs will be used by researchers, extension educators, or stakeholders to accomplish the overall goal of the USWBSI.*

**NOTE:** *If it does not fit one of the CP’s stated research priorities (refer to CPs’ or VDHR Program Descriptions and Research Priorities/Objectives - [https://scabusa.org/pdfs/rfp/fy20-21\\_pd-rp.pdf](https://scabusa.org/pdfs/rfp/fy20-21_pd-rp.pdf)), then suggest a new objective;*

- *Include a statement that demonstrates that the PI/Co-PI’s are capable of carrying out the proposed research based on past research productivity and expertise in the relevant field(s) of research; and*
- *Projected total amount of budget request.*

**Deadline:** Both the Chair of the relevant Coordinated Project Committee (CPC) and the NFO ([nfo@scabusa.org](mailto:nfo@scabusa.org)) must receive the Letter of Intent by **July 12, 2019**. LOIs should be submitted electronically via e-mail.

### Contact Information for Coordinated Project Committees’ Chairs:

| <b>COMMODITY-BASED COORDINATED PROJECTS</b>   |  |  |
|---|--|--|
| <b>Barley</b>   | <b>Durum</b>   | <b>Hard Winter Wheat</b>   |
| Brian Steffenson<br>University of Minnesota<br>Dept. of Plant Pathology<br>495 Borlaug Hall<br>1991 Upper Buford Cr.<br>St. Paul, MN 55108<br>PH: (612) 625-4735<br>Email: <a href="mailto:bsteffen@umn.edu">bsteffen@umn.edu</a> | Steven Xu<br>USDA-ARS<br>Northern Crop Science Laboratory<br>1307 18th Street North<br>Fargo, ND 58105-5677<br>PH: (701) 239-1327<br>Email: <a href="mailto:Steven.Xu@ARS.USDA.GOV">Steven.Xu@ARS.USDA.GOV</a> | Sunish Sehgal<br>South Dakota State University<br>Seed Technology Lab 113B<br>-Box 2108<br>University Station<br>Brookings, SD 57007<br>PH: (605) 688-5709<br>Email: <a href="mailto:Sunish.Sehgal@sdstate.edu">Sunish.Sehgal@sdstate.edu</a>                |
| <b>VDHR–UNIFORM NURSERIES COORDINATED PROJECTS</b>  |  |  |
| <b>Spring Wheat Parents</b>   | <b>Northern Soft Winter Wheat</b>  | <b>Southern Soft Winter Wheat</b>  |
| Mory Rugg<br>BASF Corporation<br>Northern Plains Wheat Breeding<br>6693 90th St.<br>Sabin, MN 56580<br>PH: (701) 205-8067<br>Email: <a href="mailto:mory.rugg@agro.basf-se.com">mory.rugg@agro.basf-se.com</a>                    | Jana Murche<br>KWS Cereals USA, LLC<br>4101 Colleen Dr.<br>Champaign, IL 61822<br>PH: (330) 234-0302<br>Email: <a href="mailto:jana.murche@kws.com">jana.murche@kws.com</a>                                    | Steve Harrison<br>Louisiana State University<br>School of Plant, Environmental and Soil<br>Sciences<br>221 M. B. Sturgis<br>Baton Rouge, LA 70803<br>PH: (225) 578-1308<br>Email: <a href="mailto:sharrison@agcenter.lsu.edu">sharrison@agcenter.lsu.edu</a> |

## 2. CP COMMITTEES' RESPONSE TO RECEIVED LETTERS OF INTENT

The CP Committee Chair will notify all PIs who submitted Letters of Intent whether their proposed research has been accepted into the CP FY20-21 Pre-Proposal.

**Deadline: August 12, 2019**

Note: If your proposed research was rejected by one of the CPs, you may submit an individual project pre-proposal for consideration following the instructions for Category 3 (page 38).

## 3. PRE-REGISTRATION PROCESS FOR THE EPS SYSTEM

All PIs submitting a proposed research project to a Commodity-based or VDHR-Uniform Nursery Coordinated Project must complete the Pre-Registration Process so that they may upload their CV/Publication Lists and Current and Pending Support forms to the EPS System. However, PIs should wait to complete the Pre-Registration Process until after they have received a response from the CP Committee Chair concerning their submitted Letter of Intent (LOI).

Because the USWBSI does not require signatures by the Authorized Organizational Representatives (AORs) on pre-proposals, institutional AOR's will not be granted access to the EPS System. If PI's institution requires approval from the Authorized Organizational Representative (i.e. Sponsored Programs Administration/Office) on 'pre-proposals', there is a special form available on the RFP website that can be used at the institutional level for obtaining approval by the PI's sponsored programs office. The form can be downloaded from the USWBSI's Website at [https://scabusa.org/research\\_rfp-fy20-21](https://scabusa.org/research_rfp-fy20-21) under 'SPECIAL FORMS' on the FY20-21 RFP web page.

**Step 1: Setting up User access to EPS System:** All PIs and Co-PIs submitting a proposed research project for the first time must complete this step in order to have access to the EPS System. Complete the user registration form at <https://scabusa.org/user/register>. NOTE: This step must be completed before you can complete step 2. If you are unsure if you already have a user account, contact the NFO.

**Step 2: Proposed Research Form:** Information submitted on this form pertains to the proposed research being submitted. You must complete a separate form for each proposed research project being submitted. After logging in on the USWBSI's home page, click on the link below and then follow the instructions included on the form - [https://scabusa.org/pre\\_reg.php](https://scabusa.org/pre_reg.php) (form will be available starting July 29<sup>th</sup>).

### **Important Dates and Deadlines for the EPS System:**

- July 29: Pre-registration Process begins. Upon successful completion of the pre-registration process, PIs and Co-PIs will receive their EPS access information via email on or within 48 business hours.
- August 1: EPS System Opens
- August 30: Pre-Registration Process ends.
- Sept. 16: Deadline for final submission of supporting documents.

## 4. PROPOSED RESEARCH PROJECT (PRP)

There are two versions of the Proposed Research Project forms: 1) Commodity; and 2) VDHR-Uniform Nurseries. Make sure to download the appropriate version from the FY20-21 RFP web page at [https://scabusa.org/research\\_rfp-fy20-21](https://scabusa.org/research_rfp-fy20-21).

### Title of Proposed Research Project

The title of the project pre-proposal must be brief (**85-character maximum** including spaces and punctuation), yet represent the major thrust of the project. Because this title will be used to provide information to those who may not be familiar with the proposed project, highly technical words or phraseology should be avoided where possible. In addition, phrases such as “investigation of” or “research on” should not be used.

### Project Summary

Each project proposed research project must contain a completed Project Summary Page, which will be the second page of the proposed research project. The text for the project summary **should not exceed 400 words**. The project summary should include a description of the activity to be undertaken, and focus on the following (**all four bullets below must be addressed**):

- Overall project goal(s)
- Project Objectives (list in numbered format) and Expected Outcomes;
- Plans to accomplish project goal(s) within period of proposed work; and
- Statement of Mutual Interest (i.e. how will stakeholders/end-users benefit from this research).

The Project Summary should be concise and focused; specific details of the experimental design, materials and methods, etc. should be elaborated on in the Plan of Work.

### Plan of Work

The Plan of Work should immediately follow the Project Summary. This plan must describe how your work specifically fits into the overall Coordinated Project and identify any linkages to other work plans that are part of the Coordinated Project. The Plan of Work should be formatted using Times New Roman, 12 point or higher, black colored font with one-inch margins. Bold formatting should only be used for section headings or used minimally to emphasize importance or significance. **NOTE:** Digital images inserted into the Plan of Work must fit within the five (5) page limit. The **maximum size of images** to be included should be approximately 1200 x 1500 pixels using 256 colors. Figures must be readable as printed on an 8.5” x 11” page at normal (100%) scale. Conformance to the format requirements will be strictly enforced.

The Plan of Work should not exceed five (5) pages in length (including tables and figures) and should describe in clear, concrete terms the substance of your proposed research including the following:

- *Rationale and Significance.* It is understood that head scab is a devastating disease. **Acknowledgment of this fact should require no more than one sentence.** Concisely present the rationale behind the proposed research; identify the gaps in the knowledge base that your proposed research is trying to fill. Relevance of the project to the goals of the U.S. Wheat and Barley Scab



Initiative with specific reference to the priorities listed in the FY20-21 Program Descriptions and Research Priorities (PD-RP) should be clearly stated.

- *Research Materials and Methods.* Specifically, this section must include:
  - The hypotheses or questions being asked;
  - A description of the investigations and/or experiments proposed **in the sequence** in which the investigation or experiments are to be performed;
  - Techniques and methodologies to be used in carrying out the proposed project, including the feasibility of the techniques. (**Describe in step by step fashion exactly what techniques, materials and methods will be used.**);
  - Results expected;
  - Means by which experimental data will be analyzed or interpreted;
  - Means of applying results or accomplishing technology transfer, where appropriate;
  - Pitfalls that may be encountered;
  - Limitations to proposed procedures; and
  - A tentative schedule/timetable for conducting major steps involved in these investigations and/or experiments.
- *DON Analysis: Number of Samples.* Include your estimated number of grain samples for submission to one of the USWBSI DON labs. If funded, your lab assignment for submitting samples will managed by the NFO.

**NOTE:** Failure to address each of the issues in the bullet list will diminish the likelihood of the success of your pre-proposal being recommended for funding.

## Budget Requests (Year 1 and 2)

Please complete the ‘**Proposed Research Project Budget Justification Form**’ by following the instructions on the form. PI will also complete a budget using either ‘**Proposed Research Project Budget Form**’ or the ‘**FY20-21 Multi-PI Budget Worksheet**’ (MS Excel file). If the ‘Multi-PI Budget Worksheet’ is used, please remove the PRP budget page from the ‘Proposed Research Project’ forms prior to submission. In most cases, your proposed research project should include a ‘Year 1’ and ‘Year 2’ budget request. **NOTE:** In the majority of cases, the total for direct and indirect costs for Year 1 and Year 2 should be the same amount. Finally, for all line item categories and subcategories where funds are being requested, **descriptive details must be provided** that justify the amount being requested.

*Tuition Remission:* Tuition remission is **permitted** under USDA-ARS **grant agreements** with any type of recipient organization (i.e. universities). For PI’s currently funded under a Non-assistance Cooperative Agreement (NACA), tuition remission is NOT allowed.

*Indirect Cost (IDC) Rate Policy:* Because Fusarium head blight is such a severe problem for the U.S. wheat and barley industries, the USWBSI’s review process focuses on the **competitiveness of total projects costs** (i.e. direct and indirect) to maximize total funding used for research.

As part of the 2018 Farm Bill - Agriculture Improvement Act of 2018 – signed into law (Public Law 115-334) on 12/20/18, a fixed Indirect Cost Rate of 10% has been set for the USWBSI ([See Title VII, Subtitle C, Section 7303](#), page 332 of bill; page 336 of PDF). Universities may voluntarily elect to use

a percentage below 10% for pre-proposals submitted to the USWBSI, however they **cannot charge a rate higher than 10%**.

The 10% Indirect Cost (IDC) rate should be calculated on the Modified Total Direct Costs of your pre-proposal, which is described below:

Modified total direct costs, consisting of all salaries and wages, fringe benefits, materials, supplies, services, travel and subgrants and subcontracts up to the first \$25,000 of each subgrant or subcontract (regardless of the period covered by the subgrant or subcontract). Modified total direct costs **shall exclude** equipment, capital expenditures, charges for patient care, participant support costs, student tuition remission, rental costs of off-site facilities, scholarships, and fellowships as well as the portion of each subgrant and subcontract in excess of \$25,000.

**NOTE:** Indirect Costs are not applicable to ARS Scientists and PI's currently funded under a Non-Assistance Cooperative Agreement (NACA).

Small Business Innovated Research (SBIR) Fee: In the event your proposed research is recommended for funding, the SBIR Fee will be assessed prior to the award made by USDA-ARS. The SBIR fee is mandated by Congress under [Public Law 112-81, Subtitle A, SEC. 5102 \(a\) \(1\) \(I\)](#) in support of the Small Business Act. The current rate for the SBIR fee is 3.2%.

This fee is charged only to projects that are funded through a grant agreement or a NACA with USDA-ARS (i.e. not applicable to ARS PIs). When applicable, PIs are advised to incorporate the Small Business Innovated Research (SBIR) fee into their budget request. Below is the formula to calculate the fee followed by an example:

Step 1: Total of Direct and Indirect Costs X SBIR Fee % [e.g. 0.032] = SBIR Fee Amount.

Step 2: Add the SBIR Fee Amount to the Total Amount for Direct and Indirect Costs (i.e. Award Amount) to get the 'Total Amount of Request.'

Example: Direct and Indirect Costs Total: \$34,500

Step 1: \$34,500 X .032 = \$1,104 (SBIR fee amount)

Step 2: \$34,500 + \$1,104 = \$35,604 (Total Amount of this Request)

Multi-PI Budget Worksheet: The 'Multi-PI Budget Worksheet' was developed for use by PIs whose proposed research includes components at multiple institutions. In such cases, if a 'Multi-PI' proposed research project is recommended for funding, the awards would go directly to the individuals' institution from USDA-ARS. This form should be used in place of the Single Budget Page, as it provides for both the individual budgets as well as the total requested amount for the proposed research. However, when using this form, separate Budget Justification Forms must be included for each individual budget request. The 'Multi-PI Budget Worksheet' and 'Budget Justification Form' can be downloaded from the Scab Website at [https://scabusa.org/research\\_rfp-fy20-21](https://scabusa.org/research_rfp-fy20-21) under the section 'SPECIAL FORMS' on the FY20-21 RFP web page. The file contains detailed instructions for completing the budget worksheets. It should be noted that the worksheets contain calculated fields (highlighted in yellow).

Instructions for submitting Multi-PI Budget Worksheet with PRP: Submit via email the multi-budget worksheets in either XLXS or PDF formats to the CPC Chair and the NFO along with your Proposed Research Project document. The Multi-PI Budget Worksheet must contain the Lead PI's signature.

## Breeder Form

If you are submitting a proposed research project that focuses on the development of varieties and/or germplasm, you must also complete a ‘Breeder Form.’ This form can be downloaded from the USWBSI’s Website at [https://scabusa.org/research\\_rfp-fy20-21](https://scabusa.org/research_rfp-fy20-21) under ‘SPECIAL FORMS’ on the FY20-21 RFP web page.

*Instructions for submitting Breeder Form with PRP:* Convert the Breeder Form to a PDF file and either merge it with the other items listed under ‘Proposed Research Project’ into a single PDF, or you may send it as a separate PDF file to the CPC Chair and the NFO.

## Submission Deadline

Both the Chair of the relevant Coordinated Project Committee (CPC) **and** the NFO must receive the electronic version of the Proposed Research Project (PRP) via email by **September 16, 2019**.

## 5. SUPPORTING DOCUMENTS - ITEMS TO BE UPLOADED TO EPS SYSTEM

### Curriculum Vitae and Publication List (CV/Pubs)

*Maximum of four (4) pages per scientist.*

To assist peer reviewers in assessing the competence and experience of the proposed project staff, all personnel who will be involved in the proposed project must be identified clearly. The items listed below should be included for all personnel (PI, Co-Investigators, and secondary scientists (i.e. post-docs, graduate students)) who are expected to work on the project in a significant fashion (e.g. expectation of co-authorship on ensuing publications), whether or not funds are sought for this support:

- *Curriculum Vitae (CV).* The CV should be limited to a presentation of academic and research credentials, e.g., educational, employment and professional history, and honors and awards. Unless pertinent to the project, do not include meetings attended, seminars given, or personal data such as birth date, marital status, or community activities.
- *Publication List (Pubs).* A chronological list of all publications in refereed journals during the past five years, including those in press, should be provided for each professional project member for whom a Curriculum Vitae is provided. Non-refereed technical publications that have relevance to the proposed project may be included.

The CV and Publication List combined must not exceed four pages per scientist. CV/Pubs for collaborators or sub-contractors are not required.

**Submission Instructions:** PIs and Co-PIs are responsible for uploading their own CV/Pubs to the EPS System. CV/Pubs for all secondary scientists should be included in the PI’s file. The CV/Pubs will only need to be uploaded one time whether or not the investigator is connected to multiple pre-proposals. The file (PDF format) must be uploaded to the EPS System by **September 16, 2019**.

**Current and Pending Support (CPS) Form** *Download the current CPS form from the FY20-21 RFP web page at [https://scabusa.org/research\\_rfp-fy20-21](https://scabusa.org/research_rfp-fy20-21). Other versions of Current and Pending Support forms will NOT be accepted.*

All PIs submitting a proposed research project (PRP) must also submit completed Current and Pending Support Forms listing other current public or private support to which key personnel identified in the PRP have committed portions of their time, whether or not salary support for person(s) involved is included in the budget. Analogous information must be provided for any pending proposals that are being considered by, or that will be submitted in the near future to, other possible sponsors, including other USDA Programs or agencies, and the USWBSI. Concurrent submission of identical or similar proposals to the other possible sponsors will not prejudice the review or evaluation by the USWBSI for this purpose. However, a PRP that duplicates or overlaps substantially with a **proposal already reviewed and funded (or to be funded)** by another organization or agency will not be recommended under this program. The third column ('Requested/Award Amount') on the 'Current and Pending Support Page' has been divided into two sub-columns: Total \$ Amount and PI \$ Allocation. For each grant/proposal listed, **include both the total ('Total \$ Amount') and the amount you have or may receive ('PI \$ Allocation')**.

**Submission Instructions:** PIs and Co-PIs are responsible for uploading their own Current and Pending Support Form to the EPS System. The CPS Form will only need to be uploaded one time whether or not the investigator is connected to multiple pre-proposals. The CPS form must be converted to PDF format before uploading to the EPS System by **September 16, 2019**.

## IMPORTANT DATES/DEADLINES

### Pre-Submission

- PIs submit Letters of Intent (LOI) **July 12, 2019**
  - CPCs respond to PIs regarding acceptance of LOIs into CP **August 12, 2019**
- 

### Pre-Registration/EPS System

- STEP 1: Setup User access to EPS System (*First time submitters only*)
- STEP 2: Complete Proposed Research Form (*NOTE: This step should not be completed until you have received a response from the CP Coordinator to your Letter of Intent.*)

**Pre-Registration Begins:** **July 29, 2019**  
**EPS System Opens:** **August 1, 2019**  
**Pre-Registration Ends:** **August 30, 2019**

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## Final Submission of Proposed Research Projects (PRP)

### PI Check-list of Required Items for PRP Submitted to Coordinated Project Committee

- Project Summary (*should not exceed 400 words*)
- Plan of Work (*should not exceed five pages including tables and figures*)
- Budget Justification Forms (*details required for all sections and subsections where funds are being requested*)
- Budget Page (*e-signature required, Adobe digitally signed is accepted*)  
*NOTE: use either Individual or Multi-PI Budget forms; if ‘Multi-PI Budget form is used, attach as a separate file (XLSX or PDF)*
- Breeder Form (*required for all variety/germplasm development related proposed research projects*)

**Submission Deadline** - E-version received by CP Chair and the NFO via email: **September 16, 2019**

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## Submission of Supporting Documents

### Check-list of Required Items to be uploaded to EPS System

- Curriculum Vitae and Publication List (PIs and Co-PIs)
- Current and Pending Support Form (PIs and Co-PIs)

**Submission Deadline (uploaded to EPS System)** **September 16, 2019**

# **SECTION 2:**

## **FHB MANAGEMENT'S COORDINATED PROJECT FOR INTEGRATED MANAGEMENT (IM-CP)**

### **Proposed Research Projects**

# Category 2: FHB Management Coordinated Project for Integrated Management (IM)

## INTRODUCTION

**Does my proposed research belong in the FHB Management Coordinated Project (CP)?**

Yes, if the primary focus of your proposed research involves the following:

|   |  |
|---|--|
| <b>Integrated Management Studies (IM)</b> | Integrated management strategies for FHB and DON including tillage practices, crop sequences, and other cultural practices targeting <i>Fusarium</i> -infested residues. |
|---|--|

**Overview of Submission Process for MGMT’s Integrated Management Coordinated Project (IM-CP):**

1. PIs submits a **Letter of Intent (LOI)** via email to the Coordinator of the IM-CP (see page 30), and copy the NFO. **Deadline: July 12**
2. IM-CP committee notifies all PIs who submitted Letters of Intent to their CP whether their proposed research has been accepted into the CP’s FY20-21 Pre-Proposal. **Deadline: August 12**
3. CP Coordinator distributes protocols to PIs accepted into CP. **Deadline: August 19**
4. PIs (and Co-PIs) complete the Pre-Registration Process for uploading their CV/Publication Lists and Current and Pending Support Form to the EPS System. **Deadline: August 30**
5. PIs submit electronic version (PDF format) of the ‘**Proposed Research Project**’ to the Coordinator of the MGMT IM-CP and the NFO. **Deadline: September 16**
6. PIs upload their CV/Publications Lists, and Current and Pending Support (CPS) Form (PDF format) to the EPS System. **Deadline: September 16**
7. NFO receives MGMT IM-CP FY20-21 Pre-proposal from CP Coordinator. **Deadline: October 18**

## INSTRUCTIONS

### PRE-SUBMISSION - LETTER OF INTENT (LOI)

If you plan to participate in the FHB Management Integrated Management Coordinated Project, you must submit a Letter of Intent to the CP Coordinator (see table below) by **July 12<sup>th</sup>**. The LOI, which may be in the form of an e-mail, must include address the following:

- *One page (single spaced) maximum;*
- *Name of PI and co-PIs, and the proposed title;*
- *Indicate if this is a continuing or new project;*

- *Reasons proposed component is needed;*
- *Goals in the USWBSI’s [USWBSI Action Plan](#) addressed by this proposed research;*
- *Research needs in the USWBSI’s [Program Description and Research Priorities for FHB Management](#) addressed by this research;*
- *Outputs of the project and how those outputs will be used by researchers, extension educators, or stakeholders to accomplish the overall goal of the USWBSI;*
- *Statement that demonstrates that the PI/co-PI(s) are capable of carrying out the proposed research based on past research productivity and expertise in the relevant field(s) of research; and*
- *Projected total amount of budget request.*

**Deadline:** Both the Coordinator of the MGMT IM-CP and the NFO must receive the Letters of Intent (may be in the form of an e-mail) by **July 12, 2019**. LOIs should be submitted electronically via e-mail.

**Contact Information for the Integrated Management Coordinated Project (IM-CP) Coordinator and NFO:**

|   |
|---|
| <p><b>Integrated Management Studies (IM)</b><br/>                 Pierce Paul<br/>                 1680 Madison Ave.<br/>                 Dept. of Plant Pathology<br/>                 Ohio State University<br/>                 Wooster, OH 44691<br/>                 E-mail: <a href="mailto:paul.661@osu.edu">paul.661@osu.edu</a></p>          |
| <p><b>Networking &amp; Facilitation Office</b><br/>                 ATTN: Sue Canty<br/>                 USWBSI-NFO<br/>                 Plant &amp; Soil Sciences Bldg.<br/>                 1066 Bogue St, RM 372<br/>                 East Lansing, MI 48824<br/>                 E-mail: <a href="mailto:nfo@scabusa.org">nfo@scabusa.org</a></p> |

**2. MGMT IM-CP COORDINATOR’S RESPONSE TO RECEIVED LETTERS OF INTENT**

The CP Coordinator will notify all PIs who submitted Letters of Intent whether their proposed research has been accepted into the FY20-21 Coordinated Project Pre-Proposal.

**Deadline: August 12, 2019**

Note: If your proposed research was rejected by the MGMT IM-CP, you may submit an individual project pre-proposal for consideration following the instructions for Category 3 (page 38).

**3. PRE-REGISTRATION PROCESS FOR THE EPS SYSTEM**

All PIs submitting a proposed research project to the Integrated Management Coordinated Project must complete the Pre-Registration Process so that they may upload their CV/Publication Lists and Current and Pending Support forms to the EPS System. However, PIs should wait to complete the Pre-Registration Process until after they have received a response from the CP Committee Chair concerning their submitted Letter of Intent (LOI).



Because the USWBSI does not require signatures by the Authorized Organizational Representatives (AORs) on pre-proposals, institutional AOR's will not be granted access to the EPS System. If PI's institution requires approval from the Authorized Organizational Representative (i.e. Sponsored Programs Administration/Office) on 'pre-proposals', there is a special form available on the RFP website that can be used at the institutional level for obtaining approval by the PI's sponsored programs office. The form can be downloaded from the USWBSI's Website at [https://scabusa.org/research\\_rfp-fy20-21](https://scabusa.org/research_rfp-fy20-21) under 'SPECIAL FORMS' on the FY20-21 RFP web page.

**Step 1: Setting up User access to EPS System:** All PIs and Co-PIs submitting a proposed research project for the first time must complete this step in order to have access to the EPS System. Complete the user registration form at <https://scabusa.org/user/register>.  
NOTE: This step must be completed before you can complete step 2. If you are unsure if you already have a user account, contact the NFO.

**Step 2: Proposed Research Form:** Information submitted on this form pertains to the proposed research being submitted. You must complete a separate form for each proposed research project being submitted. After logging in on the USWBSI's home page, click on the link below and then follow the instructions included on the form - [https://scabusa.org/pre\\_reg.php](https://scabusa.org/pre_reg.php). (Form will be available July, 29, 2019.)

### **Important Dates and Deadlines for the EPS System:**

- July 29: Pre-registration Process begins. Upon successful completion of the pre-registration process, PIs and Co-PIs will receive their EPS access information via email on or within 48 business hours.
- August 1: EPS System Opens
- August 30: Pre-Registration Process ends.
- Sept. 16: Deadline for final submission of supporting documents.

## **4. PROPOSED RESEARCH PROJECT (PRP)**

### **Title of Proposed Research Project**

The title of the project pre-proposal must be brief (**85-character maximum** including spaces and punctuation), yet represent the major thrust of the project. Because this title will be used to provide information to those who may not be familiar with the proposed project, highly technical words or phraseology should be avoided where possible. In addition, phrases such as "investigation of" or "research on" should not be used.

### **Project Summary**

Each project proposed research project must contain a completed Project Summary Page, which will be the second page of the proposed research project. The text for the project summary **should not exceed 400 words**. The project summary should include a description of the activity to be undertaken, and focus on the following (**all four bullets below must be addressed**):

- Overall project goal(s)
- Project Objectives (list in numbered format) and Expected Outcomes;
- Plans to accomplish project goal(s) within period of proposed work; and

- Statement of Mutual Interest (i.e. how will stakeholders/end-users benefit from this research).

The Project Summary should be concise and focused; specific details of the experimental design, materials and methods, etc. should be elaborated on in the Plan of Work.

## Plan of Work

The Plan of Work should immediately follow the Project Summary. This plan must describe how your work specifically fits into the overall Coordinated Project and identify any linkages to other work plans that are part of the Coordinated Project. The Plan of Work should be formatted using Times New Roman, 12 point or higher, black colored font with one-inch margins. Bold formatting should only be used for section headings or used minimally to emphasize importance or significance. **NOTE:** Digital images inserted into the Plan of Work must fit within the five (5) page limit. The **maximum size of images** to be included should be approximately 1200 x 1500 pixels using 256 colors. Figures must be readable as printed on an 8.5” x 11” page at normal (100%) scale. Conformance to the format requirements will be strictly enforced.

The Plan of Work should not exceed five (5) pages in length (including tables and figures) and should describe in clear, concrete terms the substance of your proposed research including the following:

- *Rationale and Significance.* It is understood that head scab is a devastating disease. **Acknowledgment of this fact should require no more than one sentence.** Concisely present the rationale behind the proposed research; identify the gaps in the knowledge base that your pre-proposal is trying to fill. Relevance of the project to the goals of the U.S. Wheat and Barley Scab Initiative with specific reference to the priorities listed in the FY20-21 Program Descriptions and Research Priorities (PD-RP) should be clearly stated
- *Research Materials and Methods.* Specifically, this section must include:
  - The hypotheses or questions being asked;
  - A description of the investigations and/or experiments proposed **in the sequence** in which the investigation or experiments are to be performed (NOTE: The Coordinated Project Coordinator will send the standard protocols to all PIs whose proposed research were accepted into the CP by August 19.);
  - Techniques and methodologies to be used in carrying out the proposed project, including the feasibility of the techniques. (**Describe in step by step fashion exactly what techniques, materials and methods will be used.**);
  - Results expected;
  - Means by which experimental data will be analyzed or interpreted;
  - Means of applying results or accomplishing technology transfer, where appropriate;
  - Pitfalls that may be encountered;
  - Limitations to proposed procedures; and
  - A tentative schedule/timetable for conducting major steps involved in these investigations and/or experiments.
- *DON Analysis: Number of Samples.* Include your estimated number of grain samples for submission to one of the USWBSI DON labs. If funded, your lab assignment for submitting samples will managed by the NFO.

**NOTE:** Failure to address each of the issues in the bullet list will diminish the likelihood of the success of your pre-proposal being recommended for funding.

## Budget Requests (Year 1 and 2)

Please complete the ‘**Proposed Research Project Budget Justification Form**’ by following the instructions on the form. PI will also complete a budget using the ‘**Proposed Research Project Budget Form**’. In most cases, your proposed research project should include a ‘Year 1’ and ‘Year 2’ budget request. **NOTE:** In the majority of cases, the total for direct and indirect costs for Year 1 and

Year 2 should be the same amount. Finally, for all line item categories and subcategories where funds are being requested, **descriptive details must be provided** that justify the amount being requested.

Tuition Remission: Tuition remission is **permitted** under USDA-ARS **grant agreements** with any type of recipient organization (i.e. universities). For PI’s currently funded under a Non-assistance Cooperative Agreement (NACA), tuition remission is NOT allowed.

Indirect Cost (IDC) Rate Policy: Because Fusarium head blight is such a severe problem for the U.S. wheat and barley industries, the USWBSI’s review process focuses on the **competitiveness of total projects costs** (i.e. direct and indirect) to maximize total funding used for research.

As part of the 2018 Farm Bill - Agriculture Improvement Act of 2018 – signed into law (Public Law 115-334) on 12/20/18, a fixed Indirect Cost Rate of 10% has been set for the USWBSI ([See Title VII, Subtitle C, Section 7303](#), page 332 of bill; page 336 of PDF). Universities may voluntarily elect to use a percentage below 10% for pre-proposals submitted to the USWBSI, however they **cannot charge a rate higher than 10%**.

The 10% Indirect Cost (IDC) rate should be calculated on the Modified Total Direct Costs of your pre-proposal, which is described below:

Modified total direct costs, consisting of all salaries and wages, fringe benefits, materials, supplies, services, travel and subgrants and subcontracts up to the first \$25,000 of each subgrant or subcontract (regardless of the period covered by the subgrant or subcontract). Modified total direct costs **shall exclude** equipment, capital expenditures, charges for patient care, participant support costs, student tuition remission, rental costs of off-site facilities, scholarships, and fellowships as well as the portion of each subgrant and subcontract in excess of \$25,000.

**NOTE:** Indirect Costs are not applicable to ARS Scientists and PI’s currently funded under a Non-Assistance Cooperative Agreement (NACA).

Small Business Innovated Research (SBIR) Fee: In the event your proposed research is recommended for funding, the SBIR Fee will be assessed prior to the award made by USDA-ARS. The SBIR fee is mandated by Congress under [Public Law 112-81, Subtitle A, SEC. 5102 \(a\) \(1\) \(I\)](#) in support of the Small Business Act. The current rate for the SBIR fee is 3.2%.

This fee is charged only to projects that are funded through a grant agreement or a NACA with USDA-ARS (i.e. not applicable to ARS PIs). When applicable, PIs are advised to incorporate the Small Business Innovated Research (SBIR) fee into their budget request. Below is the formula to calculate the fee followed by an example:

Step 1: Total of Direct and Indirect Costs X SBIR Fee % [e.g. 0.032] = SBIR Fee Amount.

Step 2: Add the SBIR Fee Amount to the Total Amount for Direct and Indirect Costs to get the ‘Total Amount of Request.’

Example: Direct and Indirect Costs Total: \$34,500  
 Step 1:  $\$34,500 \times .032 = \$1,104$  (SBIR fee amount)  
 Step 2:  $\$34,500 + \$1,104 = \$35,604$  (Total Amount of this Request)

### Submission Deadline

Both the Coordinator of the Integrated Management Coordinated Project (IM-CP) **and** the NFO must receive the electronic version (via email) of the Proposed Research Project (PRP) via email by **September 16, 2019**.

### IM-CP Form

If you are submitting a proposed research project that focuses on the integrated management strategies for FHB and DON including tillage practices, crop sequences, and other cultural practices targeting *Fusarium*-infested residues, you must also complete an ‘IM-CP Form.’ The purpose of the form is that it will allow the review panel to readily see what site specific decisions were made by the PI in developing their trials. This form can be downloaded from the USWBSI’s Website at [https://scabusa.org/research\\_rfp-fy20-21](https://scabusa.org/research_rfp-fy20-21) under ‘SPECIAL FORMS’ on the FY20-21 RFP web page.

*Instructions for submitting IM-CP Form with PRP:* Convert the IM-CP Form to a PDF file and either merge it with the other items listed under ‘Proposed Research Project’ into a single PDF, or you may send it as a separate PDF file to the CPC Chair and the NFO.

### Submission Deadline

Both the Coordinator of the IM-CP Committee **and** the NFO must receive the electronic version of the Proposed Research Project (PRP) via email by **September 16, 2019**.

## 5. SUPPORTING DOCUMENTS - ITEMS TO BE UPLOADED TO EPS SYSTEM

### Curriculum Vitae and Publication List (CV/Pubs)

*Maximum of four pages for CV/Pubs per Scientist*

To assist peer reviewers in assessing the competence and experience of the proposed project staff, all personnel who will be involved in the proposed project must be identified clearly. The items listed below should be included for all personnel (PI, Co-Investigators, and secondary scientists (i.e. post-docs, etc.)) who are expected to work on the project in a significant fashion (e.g. expectation of co-authorship on ensuing publications), whether or not funds are sought for this support:

- *Curriculum Vitae (CV)*. The CV should be limited to a presentation of academic and research credentials, e.g., educational, employment and professional history, and honors and awards. Unless pertinent to the project, do not include meetings attended, seminars given, or personal data such as birth date, marital status, or community activities.
- *Publication List (Pubs)*. A chronological list of all publications in refereed journals during the past five years, including those in press, should be provided for each professional project member for whom a Curriculum Vitae is provided. Non-refereed technical publications that have relevance to the proposed project may be included.

The CV/Pubs combined must not exceed four pages per scientist. CV/Pubs List for collaborators or sub-contractors is not required.

**Submission Instructions:** PIs and Co-PIs are responsible for uploading their own CV/Pubs to the EPS System. CV/Pubs for all secondary scientists should be included in the PI's file. The CV/Pubs will only need to be uploaded one time whether or not the investigator is connected to multiple pre-proposals. File (PDF format) must be uploaded to the EPS System by **September 16, 2019**.

**Current and Pending Support (CPS) Form** *Download the current CPS form from the FY20-21 RFP web page at [https://scabusa.org/research\\_rfp-fy20-21](https://scabusa.org/research_rfp-fy20-21). Other versions of Current and Pending Support forms will NOT be accepted.*

All project pre-proposals must contain completed Current and Pending Support Forms for PI and all Co-PIs listing other current public or private support to which key personnel identified in the pre-proposal have committed portions of their time, whether or not salary support for person(s) involved is included in the budget. Analogous information must be provided for any pending proposals that are being considered by, or that will be submitted in the near future to, other possible sponsors, including other USDA Programs or agencies, and the USWBSI. Concurrent submission of identical or similar proposals to the other possible sponsors will not prejudice pre-proposal review or evaluation by the USWBSI for this purpose. However, a project pre-proposal that duplicates or overlaps substantially with a **proposal already reviewed and funded (or to be funded)** by another organization or agency will not be recommended under this program. The third column ('Requested/Award Amount') on the 'Current and Pending Support Page' has been divided into two sub-columns: Total \$ Amount and PI \$ Allocation. For each grant/proposal listed, **include both the total ('Total \$ Amount') and the amount you have or may receive ('PI \$ Allocation')**.

**Submission Instructions:** PIs and Co-PIs are responsible for uploading their own Current and Pending Support Form to the EPS System. The CPS Form will only need to be uploaded one time whether or not the investigator is connected to multiple pre-proposals. The CPS form must be converted to PDF format before uploading to the EPS System by **September 16, 2019**.

## IMPORTANT DATES/DEADLINES

### Pre-Submission

- PIs submit Letters of Intent (LOI) **July 12, 2019**
  - CP Coordinator respond to PIs regarding acceptance of LOIs into CP. **August 12, 2019**
  - CP Coordinator sends the standard protocols to all PIs whose proposed research was accepted into the CP. **August 19, 2019**
- 

### Pre-Registration/EPS System

- STEP 1: Setup User access to EPS System (*First time submitters only*)
- STEP 2: Complete Proposed Research Form (*NOTE: This step should not be completed until you have received a response from the CP Coordinator to your Letter of Intent.*)

**Pre-Registration Begins:**

**July 29, 2019**

**EPS System Opens:**

**August 1, 2019**

**Pre-Registration Ends:**

**August 30, 2019**

### Final Submission of PRP

#### PI Check-list of Required Items for Proposed Research Projects Submitted to the Coordinator of MGMT-CP

- Project Summary Form (*should not exceed 400 words*)
- Plan of Work (*should not exceed five pages*)
- Budget Justification Forms (*details required for all sections and subsections where funds are being requested*)
- Budget Page (*e-signature required*)
- IM-CP Form

**Submission Deadline - E-version received by CP Coordinator and the NFO via email:**

**September 16, 2019**

### Submission of Supporting Documents

#### Check-list of Required Items to be uploaded to EPS System

- Curriculum Vitae and Publication (CV/Pubs) List (*PI and Co-PIs*)
- Current and Pending Support (CPS) Form (*PI and Co-PIs*)

**Submission Deadline (uploaded to EPS System)**

**September 16, 2019**

**SECTION 3:**

**RESEARCH AREA  
INDIVIDUAL PROJECT  
PRE-PROPOSALS**

# Category 3: Individual Research Area Project Pre-Proposals

## INTRODUCTION

If your research is in one the following research areas, and if the primary focus is not on Barley or Integrated Management Studies, or was rejected by one of the Coordinated Projects, you should complete your pre-proposal using the instructions and forms provided in this section of the FY20-21 RFP.

- ❖ FHB Management (MGMT)
- ❖ Food Safety and Toxicology (FST)
- ❖ Gene Discovery and Engineering Resistance (GDER)
- ❖ Pathogen Biology and Genetics (PBG)
- ❖ Other (see page for 4 under the ‘Preface’)

## PRE-REGISTRATION AND OVERVIEW OF THE ELECTRONIC SUBMISSION PROCESS

Individual Pre-proposals will be submitted using the USWBSI’s Electronic Pre-Proposal Submission (EPS) System. Pre-proposal must be organized and saved as three (four if submitting a multi-PI budget) separate files for the purpose of uploading to the EPS System. Below are the steps you will take to complete and submit your individual project pre-proposal:

- Step 1:** Setting up User access to EPS System: All PIs and Co-PIs submitting a pre-proposal for the first time must complete this step in order to have access to the EPS System. Complete the user registration form at <https://scabusa.org/user/register>. NOTE: This step must be completed before you can complete step 2. If you are unsure if you already have a user account, contact the NFO.
- Step 2:** Proposed Research Form: Information submitted on this form pertains to the proposed research being submitted. You must complete a separate form for each pre-proposal you are submitting. After logging in on the USWBSI’s home page, click on the link below and then follow the instructions included on the form - [https://scabusa.org/pre\\_reg.php](https://scabusa.org/pre_reg.php). (Form will be available July, 29, 2019.)

You will complete your pre-proposal by following the instructions provided in this RFP and using the forms which may be downloaded from the USWBSI’s Website at [https://scabusa.org/research\\_rfp-fy20-21](https://scabusa.org/research_rfp-fy20-21). Your final pre-proposal must be completed and organized as follows:

- **FILE 1 – Body of Pre-Proposal** – Contains the following:
  - Application for Funding Cover Page
  - Project Summary
  - Project Description
  - References to Project Description
  - Facilities and Equipment
  - Collaborative Arrangements
  - Letters of Intent from Collaborators and Co-Investigators–(*may be in the form of e-mail messages copied and pasted into your pre-proposal document*)



- Budget Justification Form
- Budget Page (**Note:** If you are using the ‘Multi-PI Budget’ (Excel format) in place of the Single Budget Page, please refer to the instructions on page 42).
- **FILE 2 – Curriculum Vitae and Publication List (CV/Pubs):** The PI and each Co-PI is responsible for uploading his/her own CV/Pubs file. CV/Pubs for secondary project personnel should be included in the PI’s file.
- **FILE 3 – Current and Pending Support (CPS) Form:** The PI and each Co-PI is responsible for uploading his/her own CPS form.

Log in to the EPS System using the information received after successful completion of the ‘Pre-Registration Process.’ Following the instructions in the ‘Help Document’ (included in e-mail from the Networking & Facilitation Office), proceed to upload the files associated with your pre-proposal.

Because the USWBSI does not require signatures by the Authorized Organizational Representatives (AORs) on pre-proposals, institutional AOR’s will not be granted access to the EPS System. If PI’s institution requires approval from the Authorized Organizational Representative (i.e. Sponsored Programs Administration/Office) on ‘pre-proposals’, there is a special form available on the RFP webpage that can be used at the institutional level for obtaining approval by the PI’s sponsored programs office. The form can be downloaded from the USWBSI’s Website at [https://scabusa.org/research\\_rfp-fy20-21](https://scabusa.org/research_rfp-fy20-21) under ‘SPECIAL FORMS’ on the FY20-21 RFP web page.

### **Important Dates and Deadlines for submission of Pre-Proposals via the EPS System:**

- July 29: Pre-registration Process begins. Upon successful completion of the pre-registration process, PIs and Co-PIs will receive their EPS access information via email on or within 48 business hours.
- August 1: EPS System Opens
- August 30: Pre-Registration Process ends.
- Sept. 16: Deadline for final submission of supporting documents.

## **INSTRUCTIONS**

### **FILE 1: Body of Pre-Proposal**

#### **Application for Funding Cover Page**

The pre-proposal must contain a completed ‘Application for Funding Cover Page’, which will be the first page of the application. In completing this form, please note the following:

- *Title of Proposal (Block 6).* The title of the project pre-proposal must be brief (**85-character maximum**), yet represent the major thrust of the project. Because this title will be used to provide information to those who may not be familiar with the proposed project, highly technical words or phraseology should be avoided where possible. In addition, phrases such as “investigation of” or “research on” should not be used.

- *Principal Investigator/Co-Investigators (Block 10)*. List the name of the proposing principal investigator in block 10a. All other participating scientists should be listed as co-investigators in b-f. The co-investigators should be limited to those required for major scientific collaboration.
- *Research Area (Block 13)*. Designate with an '**X**' one Research Area whose description and priorities your project is designed to address. Research Area Program Descriptions and Research Priorities (PD-RP) can be accessed through the USWBSI's Website at <https://scabusa.org/research> and are also included the FY20-21 Request for Pre-Proposals ([https://scabusa.org/research\\_rfp-fy20-21](https://scabusa.org/research_rfp-fy20-21)).
- *Research Area Specific Research Priorities (Block 14)*. Your Project Pre-Proposal should be constructed to address one or more research priority of the Research Area designated in Block 13. List the relevant Research Priority(s) in this block. Refer to the [FY20-21 PD-RP](#).

## Project Summary Form

Each project proposed research project must contain a completed Project Summary Page, which will be the second page of the project pre-proposal. The text for the project summary **should not exceed 400 words**. The project summary should include a description of the activity to be undertaken, and focus on the following (**all four bullets below must be addressed**):

- Overall project goal(s)
- Project Objectives (list in numbered format) and Expected Outcomes;
- Plans to accomplish project goal(s) within period of proposed work; and
- Statement of Mutual Interest (i.e. how will stakeholders/end-users benefit from this research).

The Project Summary should be concise and focused; specific details of the experimental design, materials and methods, etc. should be elaborated on in the Project Description.

## Project Description

The Project Description should provide a clear statement of the work to be undertaken and must include: research objectives for the **period of the proposed work** and expected significance; relation to longer-term goals of the PI's project; and relation to the present state of knowledge in the field, to work in progress by the PI under other support and to work in progress elsewhere.

The Project Description should outline the general plan of work, including the broad design of activities to be undertaken, and, where appropriate, provide a **clear description** of experimental methods and procedures, and plans for preservation, documentation, and sharing of data, samples, physical collections, curriculum materials and other related research and education products.

- Format:** Brevity will assist reviewers and USWBSI/NFO staff in dealing effectively with pre-proposals. **Therefore, the written text of the Project Description must be five pages or less (single spaced or equivalent); ten pages or less in total including figures and tables.** The Project Description should be formatted using Times New Roman, 12 point or higher, black colored font with one-inch margins. Bold formatting should only be used for section headings or used minimally to emphasize importance or significance. Digital images inserted into the Project Description must only be included within the page limits (10 pages total). The **maximum size of images** to be included should be approximately 1200 x 1500 pixels using 256 colors. Figures must be readable as printed on

an 8.5” x 11” page at normal (100%) scale. Conformance to the format requirements will be strictly enforced.

ii. **Content:**

- *Title.* Same as what was included on the ‘Application for Funding Cover Page’ (**85-Character Maximum including spaces and punctuation**).
- *Introduction.* A clear statement of the goal(s) and supporting objectives or research questions of the proposed project should be included. The most significant published work in the field under consideration, including the work of key project personnel on the current application, should be reviewed. The current status of research in this field of science should also be described **including, but not limited to, any ongoing USWBSI-recommended work currently underway. Preliminary data pertinent to the proposed research may be included in this section.** Present and discuss published literature that is directly related to, and supports, the proposed research (research objectives, materials and methods).
- *Rationale and Significance.* It is understood that head scab is a devastating disease. **Acknowledgment of this fact should require no more than one sentence.** Concisely present the rationale behind the proposed research; identify the gaps in the knowledge base that your pre-proposal is trying to fill. Relevance of the project to the goals of the U.S. Wheat and Barley Scab Initiative with specific reference to the priorities listed in the FY20-21 Program Descriptions and Research Priorities (PD-RP) should be clearly stated. If applicable, please indicate if the research proposed here could lead to successful acquisition of grant funds from other agencies.
- *Research Materials and Methods.* Specifically, this section must include:
  - The hypotheses or questions being asked;
  - A description of the investigations and/or experiments proposed **in the sequence** in which the investigation or experiments are to be performed;
  - Techniques and methodologies to be used in carrying out the proposed project, including the feasibility of the techniques. **(Describe in step by step fashion exactly what techniques, materials and methods will be used.);**
  - Results expected;
  - Means by which experimental data will be analyzed or interpreted;
  - Means of applying results or accomplishing technology transfer, where appropriate;
  - Pitfalls that may be encountered;
  - Limitations to proposed procedures; and
  - A tentative schedule for conducting major steps involved in these investigations and/or experiments.
- *DON Analysis: Number of Samples.* Include your estimated number of grain samples for submission to one of the USWBSI DON labs. If funded, your lab assignment for submitting samples will be managed by the NFO.

**NOTE:** Failure to address each of the issues in the bullet list will diminish the likelihood of the success of your pre-proposal being recommended for funding.

## References to Project Description

All references cited in the Project Description should be included in bibliographic format. Although there is no established page limitation for the references, this section must include bibliographic citations only, and must not be used to provide parenthetical information outside of the project description.

## Facilities and Equipment

All facilities and major items of equipment available for use or assignment to the proposed project during the requested period of support should be described. In addition, items of nonexpendable equipment necessary to conduct and successfully conclude the proposed project should be listed (including dollar amounts), and, if funds are requested for their acquisition, justification should be included in the Budget Justification.

## Collaborative or Sub-contractual Arrangements

If the nature of the proposed project requires collaboration or contractual arrangements with other research scientists, corporations, organizations, agencies, etc., the applicant must identify the collaborator(s) and provide a **detailed explanation of the nature** of the collaboration. Evidence in the form of a letter of intent (may be in the form of an e-mail) from all collaborators must be provided to assure reviewers that collaborators or sub-contractors involved have agreed to render the indicated services. **The letter of intent must include specific details describing the services the collaborator will provide.**

## Budget Requests

Please complete the ‘**FY20-21 Individual Project Pre-Proposal Budget Justification Form**’ by following the instructions on the form. You must also complete a budget using either ‘**FY20-21 Individual Project Pre-Proposal Budget**’ form or the ‘**FY20-21 Multi-PI Project Pre-Proposal Budget Worksheet**’ (MS Excel file). If the ‘Multi-PI Budget Worksheet’ is used, please remove the budget form from File 1 before uploading to the EPS System. In most cases, your pre-proposal should include a ‘Year 1’ and ‘Year 2’ budget request. **NOTE:** In the majority of cases, the total for direct and indirect costs for Year 1 and Year 2 should be the same amount. Finally, for all line item categories and subcategories where funds are being requested, **descriptive details must be provided** that justify the amount being requested.

*Tuition Remission:* Tuition remission is **permitted** under USDA-ARS **grant agreements** with any type of recipient organization (i.e. universities). For PI’s currently funded under a Non-assistance Cooperative Agreement (NACA), tuition remission is NOT allowed.

*Indirect Cost (IDC) Rate Policy:* Because Fusarium head blight is such a severe problem for the U.S. wheat and barley industries, the USWBSI’s review process focuses on the **competitiveness of total projects costs** (i.e. direct and indirect) to maximize total funding used for research.

As part of the 2018 Farm Bill - Agriculture Improvement Act of 2018 – signed into law (Public Law 115-334) on 12/20/18, a fixed Indirect Cost Rate of 10% has been set for the USWBSI ([See Title VII, Subtitle C, Section 7303](#), page 332 of bill; page 336 of PDF). Universities may voluntarily elect to use a percentage below 10% for pre-proposals submitted to the USWBSI, however they **cannot charge a rate higher than 10%**.

The 10% Indirect Cost (IDC) rate should be calculated on the Modified Total Direct Costs of your pre-proposal, which is described below:

Modified total direct costs, consisting of all salaries and wages, fringe benefits, materials, supplies, services, travel and subgrants and subcontracts up to the first \$25,000 of each subgrant or subcontract (regardless of the period covered by the subgrant or subcontract). Modified total direct costs **shall exclude** equipment, capital expenditures, charges for patient care, participant support costs, student tuition remission, rental costs of off-site facilities, scholarships, and fellowships as well as the portion of each subgrant and subcontract in excess of \$25,000.

**NOTE:** Indirect Costs are not applicable to ARS Scientists and PI's currently funded under a Non-Assistance Cooperative Agreement (NACA).

Small Business Innovated Research (SBIR) Fee: In the event your proposed research is recommended for funding, the SBIR Fee will be assessed prior to the award made by USDA-ARS. The SBIR fee is mandated by Congress under Public Law 112-81, Subtitle A, SEC. 5102 (a) (1) (I) in support of the Small Business Act. The current rate for the SBIR fee is 3.2%.

This fee is charged only to projects that are funded through a grant agreement or a NACA with USDA-ARS (i.e. not applicable to ARS PIs). When applicable, PIs are advised to incorporate the Small Business Innovated Research (SBIR) fee into their budget request. Below is the formula to calculate the fee including an example:

Step 1: Total of Direct and Indirect Costs X SBIR Fee % [e.g. 0.032] = SBIR Fee Amount.

Step 2: Add the SBIR Fee Amount to the Total Amount for Direct and Indirect Costs to get the 'Total Amount of Request.'

Example: Direct and Indirect Costs Total: \$34,500

Step 1:  $\$34,500 \times .032 = \$1,104$  (SBIR fee amount)

Step 2:  $\$34,500 + \$1,104 = 35,604$  (Total Amount of this Request)

Multi-PI Budget Worksheet: The 'Multi-PI Budget Worksheet' was developed for use by PIs whose proposed research includes components at multiple institutions. In such cases, if a 'Multi-PI' proposed research project is recommended for funding, the awards would go directly to the individuals' institution from USDA-ARS. This form should be used in place of the Single Budget Page, as it provides for both the individual budgets as well as the total requested amount for the proposed research. However, when using this form, separate Budget Justification Forms must be included for each individual budget request. The 'Multi-PI Budget Worksheet' and 'Budget Justification Form' can be downloaded from the Scab Website at [https://scabusa.org/research\\_rfp-fy20-21](https://scabusa.org/research_rfp-fy20-21) under the section 'SPECIAL FORMS' on the FY20-21 RFP web page. The file contains detailed instructions for completing the budget worksheets. It should be noted that the worksheets contain calculated fields (highlighted in yellow).

Instructions for submitting Multi-PI Budget Worksheet: The 'Multi-PI Budget Worksheet' must be converted to a single PDF file (must contain completed worksheets for both Year 1 and 2) before uploading to the EPS System. The Multi-PI Budget Worksheet must contain the Lead PI's signature.

## **FILE 2: Curriculum Vitae and Publication List (CV/Pubs)**

*Maximum four (4) pages for CV/Pubs per Scientist.*

To assist peer reviewers in assessing the competence and experience of the proposed project staff, all personnel who will be involved in the proposed project must be identified clearly. The items listed below should be included for all personnel (PI, Co-Investigators, and secondary scientists (i.e. post-docs, etc.)) who are expected to work on the project in a significant fashion (e.g. expectation of co-authorship on ensuing publications), whether or not funds are sought for this support:

- *Curriculum Vitae (CV).* The CV should be limited to a presentation of academic and research credentials, e.g., educational, employment and professional history, and honors and awards. Unless pertinent to the project, do not include meetings attended, seminars given, or personal data such as birth date, marital status, or community activities.
- *Publication List (Pubs).* A chronological list of all publications in refereed journals during the past five years, including those in press, should be provided for each professional project member for whom a Curriculum Vitae is provided. Non-refereed technical publications that have relevance to the proposed project may be included.

The CV/Pubs combined must not exceed four pages per scientist. CV/Pubs List for collaborators or sub-contractors is not required.

**Submission Instructions:** PIs and Co-PIs are responsible for uploading their own CV/Pubs to the EPS System. CV/Pubs for all secondary scientists should be included in the PI's file. The CV/Pubs will only need to be uploaded one time whether or not the investigator is connected to multiple pre-proposals. File must be uploaded to the EPS System by **September 16, 2019**.

## **FILE 3: Current and Pending Support (CPS) Form**

*Download the current CPS form from the FY20-21 RFP web page at [https://scabusa.org/research\\_rfp-fy20-21](https://scabusa.org/research_rfp-fy20-21). Other versions of Current and Pending Support forms will NOT be accepted.*

All project pre-proposals must contain completed Current and Pending Support Forms for PI and all Co-PIs listing other current public or private support to which key personnel identified in the pre-proposal have committed portions of their time, whether or not salary support for person(s) involved is included in the budget. Analogous information must be provided for any pending proposals that are being considered by, or that will be submitted in the near future to, other possible sponsors, including other USDA Programs or agencies, and the USWBSI. Concurrent submission of identical or similar proposals to the other possible sponsors will not prejudice pre-proposal review or evaluation by the USWBSI for this purpose. However, a project pre-proposal that duplicates or overlaps substantially with a **proposal already reviewed and funded (or to be funded)** by another organization or agency will not be recommended under this program. The third column ('Requested/Award Amount') on the 'Current and Pending Support Page' has been divided into two sub-columns: Total \$ Amount and PI \$ Allocation. For each grant/proposal listed, **include both the total ('Total \$ Amount') and the amount you have or may receive ('PI \$ Allocation')**.

**Submission Instructions:** PIs and Co-PIs are responsible for uploading their own Current and Pending Support Form to the EPS System. The CPS Form will only need to be uploaded one time whether or not the investigator is connected to multiple pre-proposals. The CPS form must be converted to PDF format before uploading to the EPS System by **September 16, 2019**.

## IMPORTANT DATES/DEADLINES

### Pre-Registration/EPS System

- STEP 1: Setup User access to EPS System (*First time submitters only*)
- STEP 2: Complete Proposed Research Form

**Pre-Registration Begins:**

**July 29, 2019**

**EPS System Opens:**

**August 1, 2019**

**Pre-Registration Ends:**

**August 30, 2019**

### Check List of Required Items for Individual Project Pre-Proposals

➤ **FILE 1: Project Pre-Proposal**

- Application for Funding Cover Page
  - Project Summary (*should not exceed 400 words*)
  - Project Description (*five pages or less written text; ten pages or less in total including figures and tables*)
  - References to Project Description
  - Facilities and Equipment
  - Description of Collaborative Arrangements
  - Letters of Intent from each collaborator and/or Co-PI
  - Budget Justification Forms (*details required for all sections and subsections where funds are being requested*)
  - Budget Page (*e-signature required*)
- NOTE: Use either Individual Project Budget Page or Multi-PI Budget Worksheet forms. If the 'Multi-PI Budget Worksheet' is used, it should be upload separately (from File 1) to the EPS System.

➤ **FILE 2: Curriculum Vitae and Publication List (*PI and Co-PIs*)**

➤ **FILE 3: Current and Pending Support Form (*PI and Co-PIs*)**

**Submission Deadline:**

**September 16, 2019**